

# Statistical modeling of SARS-Cov-2 mutation in the U.S.

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## Introduction

As a result of the severity of the SARS-Cov-2 outbreak worldwide, researchers have invented vaccines to prevent its spread. However, the higher mutation characteristics of an RNA virus such as SARS-Cov-2 may make it more likely that a group of individuals with a higher average and standard deviation mutation frequency will have a shorter duration of immunity against SARS-Cov-2 after vaccination. To investigate this, we analyzed a random sample of thousands of SARS-Cov-2 RNA sequences from infected individuals within the US and calculated their mutation frequency by aligning each of them against the first outbreak RNA sequence in the database. During the aligned process, there are two primary cases to count the mutants in our model, which are mismatch and gap. They illustrate our general three types of mutation as the Figure 1. The implement of the fast global sequence alignment algorithm with the core math thought, branch-and-bound technique will help us find the final mutation frequency for each case and get the further statistical modeling and analysis based on those mutation frequency data.

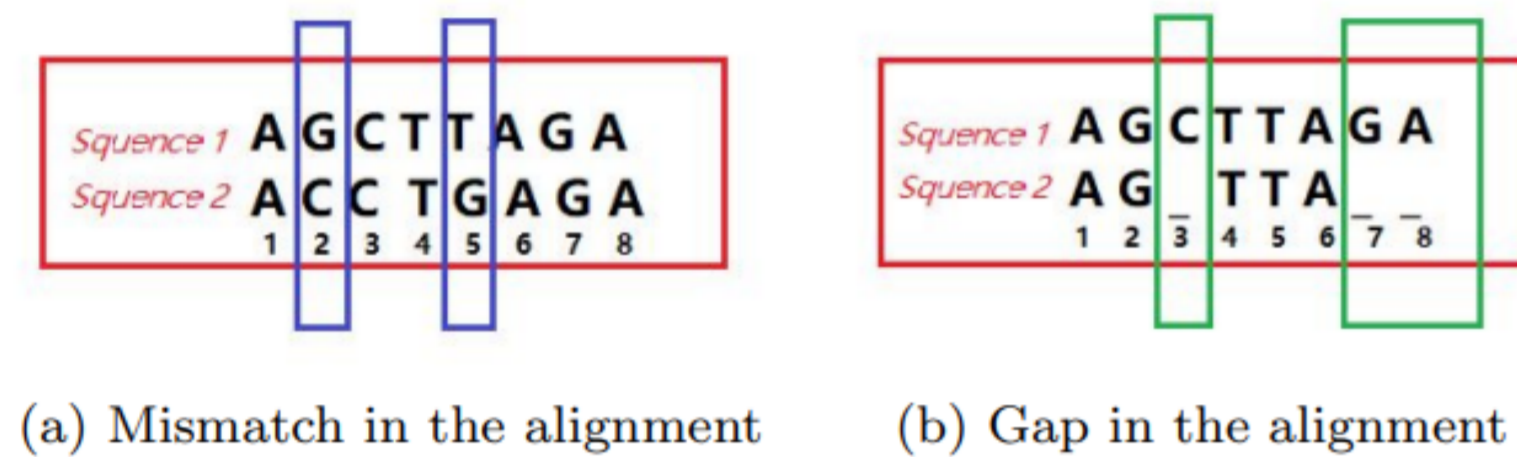


Figure 1. The aligned process for two RNA sequences: 2 mismatches in (a), 3 gaps in (b)

## Fast Optimal Global Sequence Alignment Algorithm (FOGSAA)

In 2013, Chakraborty and Bandyopadhyay proposed the fast optimal global sequence alignment algorithm, which generate the optimal alignment between two sequences by finding the optimal branch in the following branch and bound tree. In our alignment, we assume that the reference sequence cannot contain a gap. Furthermore, we use the scoring scheme described in the Introduction and again in Equation 1.

- Alignment Assumption:** FOGSAA assumes that there are two nucleotide sequences  $S_1$  and  $S_2$  with different lengths  $m$  and  $n$ , respectively, and we assume  $S_1$  to be the reference sequence here. Thus, we can label the sequences:

$$S_1 : (a_1 a_2 \dots a_m)$$

$$S_2 : (b_1 b_2 b_3 \dots b_n),$$

where  $a_i$  and  $b_j$  represent the bases in the  $i$ th and  $j$ th positions of their respective sequences. Let  $P_1$  and  $P_2$  be pointers to the bases in  $S_1$  and  $S_2$ , with initial pointer values set to 0 for both  $P_1$  and  $P_2$ .

- Scoring Scheme:** In aligning process, three possible outcome are as below: a gap in  $S_2$  (the sample sequence), a match, or a mismatch. To indicate these options, we use the scoring scheme in Equation 1.

$$\begin{cases} -2 & \text{if gap} \\ 1 & \text{if match} \\ -1 & \text{if mismatch.} \end{cases} \quad (1)$$

- Branching Criteria:** Each node in a FOGSAA tree also stores two additional components: the Present Score (PrS) and the Fitness Score (F), which are used to determine the criteria for branching as well as the bound needed to terminate the algorithm. The Present Score (PrS) represents the sum of scores from the root node to the present node.

$$F_{min} = \begin{cases} x_2 \cdot (-1) + (-2) \cdot (x_1 - x_2), & x_2 < x_1 \\ x_1 \cdot (-1) + (-2) \cdot (x_2 - x_1), & \text{otherwise} \end{cases}$$

$$F_{max} = \begin{cases} x_2 \cdot (1) + (-2) \cdot (x_1 - x_2), & x_2 < x_1 \\ x_1 \cdot (1) + (-2) \cdot (x_2 - x_1), & \text{otherwise.} \end{cases}$$

Supposing that  $(P_1, P_2) = (i_k, j_k)$ . Then the present score for this node will be:

$$PrS = \sum_{\forall i_p j_p, 1 \leq p \leq k} SC_{i_p j_p}, \quad (2)$$

where

$$SC_{i_p j_p} = \begin{cases} -2, & \text{if } b_{j_p} = \text{gap} \\ 1, & \text{if } a_{i_p} = b_{j_p} \\ -1, & \text{if } a_{i_p} \neq b_{j_p} \text{ and } b_{j_p} \neq \text{gap}. \end{cases}$$

The Fitness Score is a combination of the Present Score and another measure, the Future Score. The Future Score contains two values  $F_{min}$  and  $F_{max}$  and indicates the highest and lowest possible scores in aligning the remaining portions of the sequences. Taking  $x_1$  to be  $m - P_1$  and  $x_2$  to be  $n - P_2$ , the Future Score can be determined by the following formulas (recalling the scoring scheme in Equation 1):

$$F_{min} = \begin{cases} x_2 \cdot (-1) + (-2) \cdot (x_1 - x_2), & x_2 < x_1 \\ x_1 \cdot (-1) + (-2) \cdot (x_2 - x_1), & \text{otherwise} \end{cases}$$

$$F_{max} = \begin{cases} x_2 \cdot (1) + (-2) \cdot (x_1 - x_2), & x_2 < x_1 \\ x_1 \cdot (1) + (-2) \cdot (x_2 - x_1), & \text{otherwise.} \end{cases}$$

T is the fitness score, which can help us select the best child to determine the optimal sequence.

$$T_{min} = PrS + F_{min}$$

$$T_{max} = PrS + F_{max}.$$

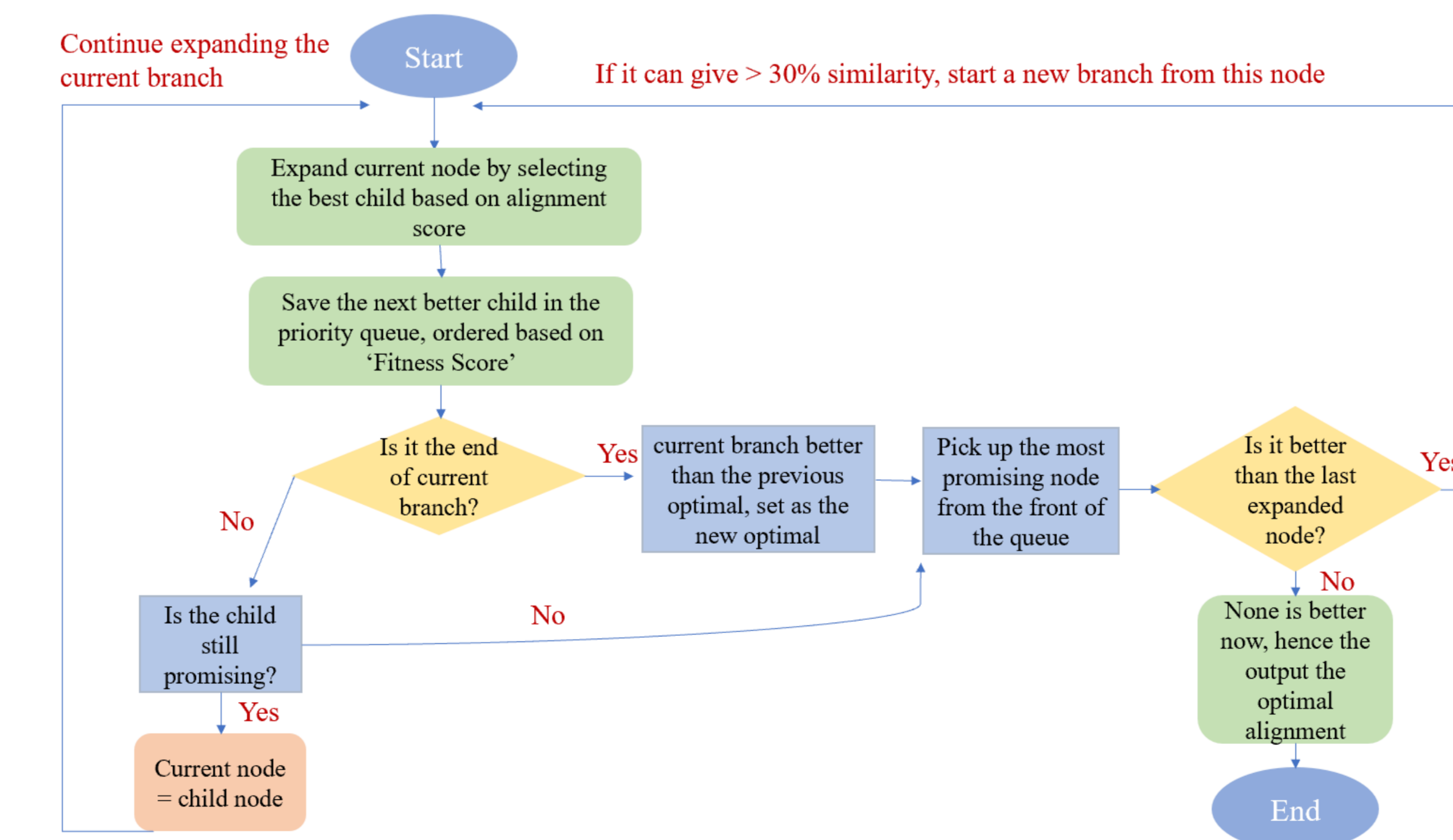


Figure 2. The workflow of the algorithm

## Statistical Model Analysis and Results

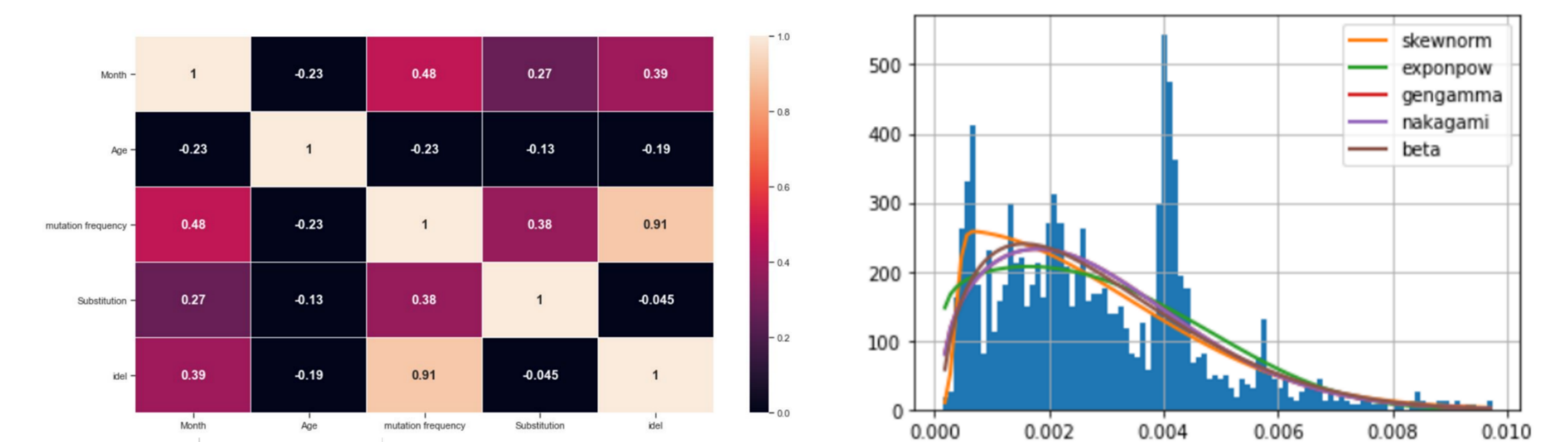
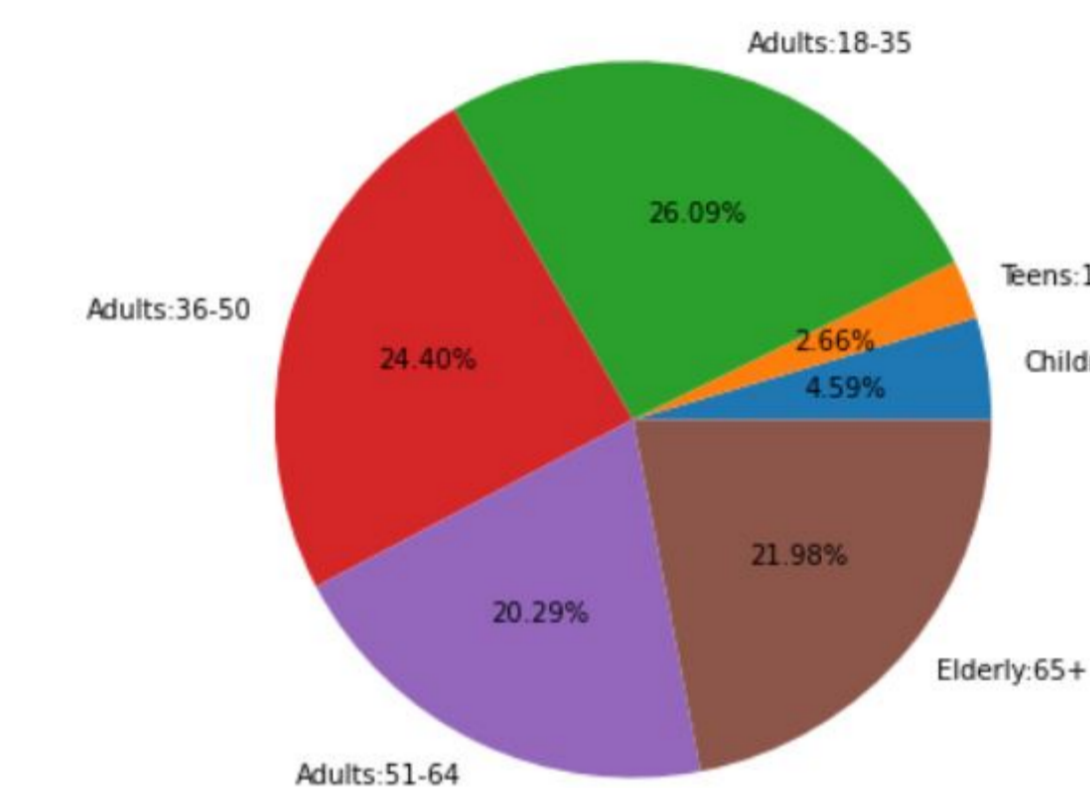


Figure 3. The left graph is the correlation for the entire features without outliers; The right graph is the mutation distribution with the fitting test (The skew-normal distribution with the smallest AIC -791.59 and BIC 9802.77)

Age proportion infected by COVID-19 in the U.S.



Mean and SD of mutation frequency for different age groups in the U.S.

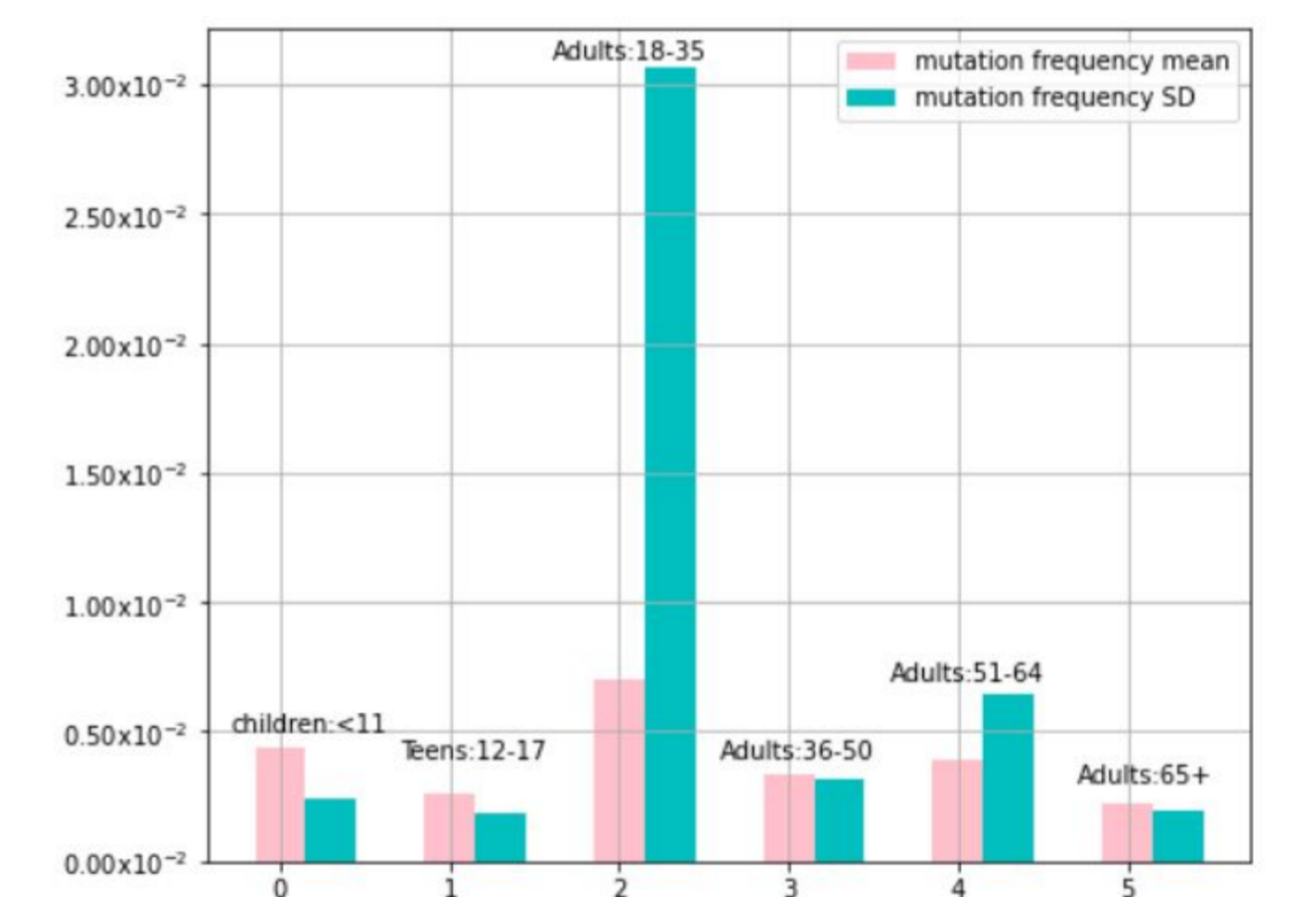


Figure 4. Proportion of age groups represented in the sample and a bar chart with the mean and SD mutation frequency within these age groups

Table 1. The cumulative density function table of the skew normal distribution

Probability portion(cdf)	0.2	0.4	0.6	0.8
mutation frequency	$1.15 \times 10^{-3}$	$1.97 \times 10^{-3}$	$2.95 \times 10^{-3}$	$4.3 \times 10^{-3}$

Using the Stepwise Selection to find the best mutation frequency forecast model, where  $x_1$  is day,  $x_2$  is age:

$$-9.188 \times 10^{-7} x_2^3 - 1.412 \times 10^{-6} x_1^3 + 2.67 \times 10^{-4} x_2^2 + 0.67 \quad (3)$$

## Conclusion

- There is linear positive relationship between all features and mutation frequency except the age feature.
- The shorter immunization group after vaccination is: (1) younger age group, especially for the age range from 18 to 35. (2) The Midwest and western regions of the U.S. (3) The lineage B and the clade with letter "G" type.
- The mutation distribution is skew-normal and the forecast mutation model is cubic.